

04-11-01

PTO/SB/05 (08-00)

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Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.52(b))

Attorney Docket No.

SPELL-003B

First Inventor

Earl J. Votolato

Title

UTILITY KNIFE TOOL WITH COVER LOCK

Express Mail Label No.

EL 820347547 US

J1036 U.S. PTO  
09/832214

04/11/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB17)
2. ☒ Applicant claims small entity status.
3. ☒ Specification [Total Pages 9]  
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R &  
-Reference to sequence listing, a table, or  
a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed) 37 CFR 3.73(b)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure/Copies of IDS Citations
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. ☒ Oath or Declaration [Total Pages 2]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or C-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
See 37 CFR 1.27 (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies  
a computer program listing appendix

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

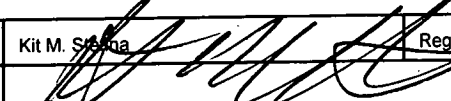
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application No.: 09/804,451  
Group/Art Unit: Unknown  
Prior application information: Examiner Unknown  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

\_\_\_\_ Customer Number or Bar Code Label Insert Customer No. or Attach bar code label here or X Customer address below

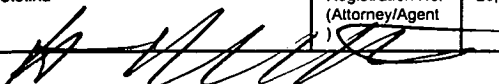
Name	STETINA BRUNDA GARRED & BRUCKER				
Address	75 Enterprise, Suite 250				
City	Aliso Viejo	State	California	Zip Code	92656
Country	United States				

Name (Print/Type)	Kit M. Serna	Registration No. (Attorney/Agent)	29,445
Signature			Date: <u>4/10/01</u>

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="margin: 10px 0 0 40px;">Patent fees are subject to annual revision.</p>		Complete if Known	
		Application Number	
		Filing Date	Herewith
		First Named Inventor	Earl J. Votolato
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	(\$355.00)	Group Art Unit	
		Attorney Docket No.	SPELL-003B

J1036 US-PTO  
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-4330</u></p> <p>Deposit Account Name <u>Stetina Brunda</u></p> <p>X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 X Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p>X Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <hr/> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <table style="width: 100%;"> <tr> <th colspan="2">1. BASIC FILING FEE</th> <th></th> <th></th> </tr> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>101 710</td> <td>201 355</td> <td>Utility Filing fee</td> <td>\$355.00</td> </tr> <tr> <td>106 320</td> <td>206 160</td> <td>Design Filing fee</td> <td></td> </tr> <tr> <td>107 490</td> <td>207 245</td> <td>Plant Filing fee</td> <td></td> </tr> <tr> <td>108 710</td> <td>208 355</td> <td>Reissue Filing fee</td> <td></td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional Filing fee</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (1)</b></td> <td><b>\$ 355.00</b></td> </tr> </table> <p>2. EXTRA CLAIM FEES</p> <table style="width: 100%;"> <tr> <th colspan="2"></th> <th>Fee from</th> <th></th> </tr> <tr> <th colspan="2"></th> <th>Extra Claims</th> <th>below</th> </tr> <tr> <td>Total Claims</td> <td>- 20** =</td> <td>X</td> <td>=</td> </tr> <tr> <td>Independent Claims</td> <td>- 3 =</td> <td>X</td> <td>=</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>=</td> </tr> </table> <table style="width: 100%;"> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>103 18</td> <td>203 9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102 80</td> <td>202 40</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104 270</td> <td>204 135</td> <td></td> <td></td> </tr> <tr> <td>109 80</td> <td>209 40</td> <td></td> <td></td> </tr> <tr> <td>110 18</td> <td>210 9</td> <td></td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td><b>\$</b></td> </tr> </table> <p>**or number previously paid, if greater; For Reissues, see above</p>	1. 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SUBMITTED BY:		Complete (if applicable)	
Name (Print/Type)	Kit M. Stetina	Registration No. (Attorney/Agent)	29,445
Telephone	(949) 855-1246	Signature	
Date	4/10/01		

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SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

A

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

- on April 10, 2001  
(Date)

Signature

Kristin Stenberg  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Utility Patent Application Transmittal;
2. Fee Transmittal (in duplicate);
3. Specification (9 pages);
4. Drawings;
5. Declaration (2 pages);
6. Check for \$355.00
7. Return Receipt Postcard

U.S. POSTAGE

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